Liquid Capital Statement

for the month of 30-SEP-19

of M/s. S.D. Mirza Securities (Pvt) Ltd

Submission Date 11-OCT-2019 12:40:02

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	3,864,206	3,864,206	
1.2	Intangible Assets	8,357,974	8,357,974	
	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	4,481,363	679,857	3,801,506
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.	18,356,456	18,356,456	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	10,330,430	10,330,430	
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries			
	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	F00 000	F00.000	
	or any other entity.	500,000	500,000	
	Margin deposits with exchange and clearing house.			
	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	185,790	185,790	
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	155,155	,	
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	1,190,321	1,190,321	
1.13	Dividends receivables.	1,100,021	1,100,021	
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	1,428,022	0	1,428,022
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	22,156,643	20,728,621	20,728,621
	value of securities purchased for customers and held in sub-accounts after applying VAR based	,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,0=.
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	1,089,002 35,998,502	0	
	iii. Cash in hand	523,903		

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for the month of 30-SEP-19

of Ms. S.D. Mirza Securities (Pvt) Ltd

Submission Date 11-OCT-2019 12:40:02

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Leading Services of Commission of Commission	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Trade Payshiles	1.19	Total Assets	98,132,182	53,863,225	63,569,556
Powellor to exchange and relevant products 14,000,183 0 14,000,185 Powellor to customes 20,518,608 0 20,518,608 0 20,518,608 0 Powellor to customes 20,518,608 0 20,518,608 0 Powellor to customes 20,518,608 0 71,000 Powellor to tutofilies 71,000 0 1,000,001 0 1,000,001 0 1,000,001 0 1,000,001 Powellor and other bosovinius 1,000,001 0 1	2	Liabilities			
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First Product to conforms			14,500,183	0	14,500,183
2. Current Liabilities 1. Accounts and option posphiss 1. Accounts and other posphiss 1. Current potion of ong term liabilities 1. Accounts and other posphiss 1. Current potion of ong term liabilities 1. Accounts and other posphiss 1. Accounts and the posshiss 1. Accounts and the posshiss and t			20 518 606	0	20 518 606
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ii. Stort-term becovering iv. Current portion of bond term liabilities iv. Current portion of bond term liabilities iv. Current portion of bond term liabilities iv. Provision for baid debts iv. Provision for bai			,	-	- /
V. Gurent portion of subconfinated loans V. Gurent portion of subconfinated loans V. Gurent Liabilities			1,002,961	0	1,002,961
V. Current portion of long term liabilities vi. Defered Liabilities vi. Poroison for bad debts vi. Poroison for bad debts vi. Control Liabilities vi. Poroison for bad debts vi. Control Liabilities vi. Non-Current Liabilities vi. Non-Current Liabilities vi. Long, I embination vi. V. Other liabilities as per accounting principles and included in the financial datements vi. Long, I embination vi. V. Other liabilities as per accounting principles and included in the financial datements vi. Long, I embination vi. V. Other liabilities as per accounting principles and included in the financial datements vi. Long, I embinated Loans vi. Long, I embinated Loans vi. Long, I embinated Loans vi. Long, I embinated liabilities as per accounting principles and included in the financial datements vi. Long, I embinated liabilities as per accounting principles and included in the financial datements vi. V. Other liabilities as per accounting principles and included in the financial vi. Long, I embination vi. V. Other liabilities as per					
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3.6 Amount Payable under REPO					
3.7 Repo adjustment	_	Amount Payable under REPO			
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 30-SEP-19

of M& S.D. Mirza Securities (Pvt) Ltd

Submission Date 11-OCT-2019 12:40:02

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of	0	279,090	279,090
	the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
2.0	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
3.11	after applying haircuts.	0	279,090	279,090
	Total Ranking Liabilities			
3.12	Liquid Capital	61,899,532	53,584,135	27,057,816