Liquid Capital Statement

for the month of 31-JAN-17

of M/s. S.D. Mirza Securities (Pvt) Ltd

Submission Date 15-FEB-2017 13:57:35

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Accete			
1.1	Assets	4,898,988	4,898,988	
	Property & Equipment			
1.2	Intangible Assets Investment in Govt. Securities (150,000*99)	7,807,974	7,807,974	
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
1.0	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	15,813,520	2,378,328	13,435,192
	respective securities whichever is higher.	10,010,020	2,0.0,020	10,100,102
1./	ii. If unlisted, 100% of carrying value. Investment in subsidiaries	3,821,519	3,821,519	
1.6	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	680,000	680,000	
1.0	or any other entity.	000,000	000,000	
1.9	Margin deposits with exchange and clearing house.			
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	84,436	84,436	
1.11	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	04,430	04,430	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivables against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers			
1.17	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	7,675,796	0	7,675,796
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	30,559,801	29,675,042	29,675,042
	value of securities purchased for customers and held in sub-accounts after applying VAR based	30,339,601	29,675,042	29,075,042
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	1,667,891	0	
	ii. Bank balance-customer accounts	73,665,497	0	
1.19	iii. Cash in hand Total Assets	224,205 146,899,627	0 49,346,287	224,205 126,343,623
2	Liabilities	1.0,000,027	.5,5 .5,207	. 20,0 .0,020
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	10,692,343	0	10,692,343
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers Current Liabilities	59,962,433	0	59,962,433
2.2	i. Statutory and regulatory dues	29,000	0	29,000
	ii. Accruals and other payables	1,219,872		

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for the month of 31-JAN-17

of Ms. S.D. Mirza Securities (Pvt) Ltd

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Non-Current Isabilities Long-Term financing			344,467	0	344,467
Linear territories per labelities as per accounting principles and included in the financial statements		ix. Other liabilities as per accounting principles and included in the financial statements	,		,
Staff retirement benefits Subordinated Loans	2.3				
iii. Other isabilities as per accounting principles and included in the financial statements 1.005 of Supportinated Loans which furtil the conditions specified by SCP 1.005 of Supportinated loans which furtil the conditions specified by SCP 2.25 Total Liabilities					
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