Liquid Capital Statement

for the month of 30-APR-19

of M/s. S.D. Mirza Securities (Pvt) Ltd

Submission Date 15-MAY-2019 15:12:23

Page 1 Of 3

1. Assets 1. Property & Equipment 1. Assets 1. Intransiple Asset 1. Intr					
1. Assets	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
1.13 Proportify & Equipment 4.006/89			Pak Rupee	Adjustments	Value
1.13 Proportify & Equipment 4.006/89	1				
1.3 Interest of the control of the c			4 205 409	4 205 400	
Investment in Ceots Securities Investment in Debts Securities					
Investment in Debt. Securities			8,357,974	8,357,974	
Ill Island there					
Use of the balance sheet value in the case of tenure upon 1-3-years					
1.7% of the halance sheet value, in the case of fenuer from 1 and 3 years.					
Full idea 1,25% of the balance sheet value in the case of femore upto 1 year		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.0% of the balance sheet value in the case of feature upto 1 years					
II. 12.5% of the balance sheet value, in the case of tenue from 1-3 years.					
II. 15% of the balance sheet value, in the case of femure of more than 3 years.					
Investment in Equity Securities If Firsted 15% or Vas of each securities on the cutoff date as computed by the Securities Exchange for especitive securities whichever is higher. If Fund that 100% for carrying value. If Surface 100% of value of the carrying value is the carrying value of the carrying value is the value of the carrying value is the value of the carrying value is the value of the v					
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Ill fundisted, 1076 of carrying value. 18,314,258 1		i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	5,772,705	865,906	4,906,799
III Subscription money against investment in IPOOffer for Sele: Amount paid as subscription money provided that sheets have not been alloted or are not included in the investments of securities broker.		respective securities whichever is higher.			
provided that shares have not been alloted or are not included in the investments of securities broker. In 100% like licrot shall be applied to Value of investment in any asset including shares of listed socurities that are in Block, Freeze or Pledige status as on exporting date. (July 19, 2017) Provided that 100% harcourt shall not be applied in case of investment in the investment in the securities which are placed that the share of the provided in status of the provided in the status of the provided in several list of the Regulations in respect of investment in securities shall be applicable (August 28, 2017) 1.10 Investment in subsidiaries I livestment in associated companies/undertaking I lif listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities which the securities is higher. I lif listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities which the securities is higher. I life that the securities of the securities as computed by the Securities Exchange for respective securities which the securities of the sec			18,314,258	18,314,258	
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ii. Bank balance-customer accounts 47,244,654 0 47,244,654	1.10		1 /101 525	^	1 /101 505
iii. Cash in hand 486,849 0 486,84		iii. Cash in hand	486,849		

Liquid Capital Statement

for the month of 30-APR-19

of Ms. S.D. Mirza Securities (Pvt) Ltd

Submission Date 15-MAY-2019 15:12:23

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	118,276,476	53,186,465	83,687,436
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	414,287	0	414,287
	ii. Payable against leveraged market products iii. Payable to customers	52,134,507	0	F2 124 F07
2.2	Current Liabilities	52,134,507	0	52,134,507
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	219,832	0	219,832
	iii. Short-term borrowings iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
0.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP	50 700 000		50,700,000
2.5	Total Liabilites	52,768,626	0	52,768,626
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haliccut multiplied by the net underwriting.			
3.4	(b) in any other case : 12.5% of the net underwriting commitments Negative equity of subsidiary			
2 -	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
2.4	assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.6	Repo adjustment			
	Probe deligonment			

Liquid Capital Statement

for the month of 30-APR-19

of M& S.D. Mirza Securities (Pvt) Ltd

Submission Date 15-MAY-2019 15:12:23

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions		044.700	044.700
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	311,730	311,730
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	311,730	311,730
3.12	Liquid Capital	65,507,850	52,874,735	30,607,080