Liquid Capital Statement

for the month of 31-JUL-19

of M/s. S.D. Mirza Securities (Pvt) Ltd

Submission Date 09-AUG-2019 16:52:41

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Assets	4,746,789	4 746 700	
	Property & Equipment	, ,	4,746,789	
	Intangible Assets Investment in Govt. Securities	8,357,974	8,357,974	
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	4,641,956	700,531	3,941,425
	respective securities whichever is higher.	1,011,000		0,0 11,120
	ii. If unlisted, 100% of carrying value.	18,314,258	18,314,258	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Royle against Short Torm financing groups and the page the holicult as provided in			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	500,000	500,000	
1.0	or any other entity.	300,000	300,000	
	Margin deposits with exchange and clearing house.			
	Deposit with authorized intermediary against borrowed securities under SLB.	150 100	450 400	
	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	159,496	159,496	
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	1,003,189	1,003,189	
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
1.16	ii. Receivables other than trade receivables			
	Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	3,701,717	0	3,701,717
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	19,893,540	18,223,347	18,223,347
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	nalicuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	2,088,439	0	
	ii. Bank balance-customer accounts	36,769,455		
	iii. Cash in hand	508,704	0	508,704

Liquid Capital Statement

for the month of 31-JUL-19

of Ms. S.D. Mirza Securities (Pvt) Ltd

Submission Date 09-AUG-2019 16:52:41

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1.79 Total Assets 10.688,377 52.095,384 69.233,687 10.688,377 52.095,384 69.233,687 10.688,377 52.095,384 69.233,687 10.688,377 52.095,384 69.233,687 10.688,377 52.095,384 69.233,687 10.688,377 10.688,377 10.695,	a vo	Wood of Account	Walua in	Hain Cub/	Not Adducted
Labelities	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
English courted programment desiring house 516,829 0 516,829 1 1,929,835 1 1,9	1.19	Total Assets	100,685,517	52,005,584	65,233,087
Engaglior to exchange and clearing house 9.16,200 0.56,200	2	Liabilities			
Payable against leveraged maket products 0,014,40 0,036,913,	2.1	Trade Payables			
a Projector Country and Inspiration dues Sanitory and Inspiratory dues Sanitory and Inspiratory dues Sanitory and Inspiratory dues Before the Debrowing and Inspiratory dues Country portion of the Inspiratory dues Country portion of Sanitory and Inspiratory dues Country portion of Sanitory and Inspiratory dues the Inspiratory due to the			516,829	0	516,829
Current Liabilities Lacous and other poyotises 1,328,605 0 1,328,605 1,328,605 0 1,328,6			36 913 461	0	36 913 461
Accusals and other parables 1,328,635 0 1,328,635	2.2		30,010,101	, and the second	30,010,101
III. Short stem between portion of solitorem listellities V. Current stabilities V.					
Current portion of subordinated clanes			1,328,635	0	1,328,635
a Defended Liabilities vii. Provideon for band debts viii. Provideon for band debts license provideon for band debts license provideon for band viii. Provideon for minancial institution including amount due against triance lease. D. Other bong, term financing obtained from financial institution including amount due against finance lease. D. Other bong, term financing license against shares of the provideon for provideon for shares of the provideon for s					
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ii. Staff retirement benefits iii. Advance against shares for increase in Capital of Securitles broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvsh have been obtained relevant Regulatory approvsh have been completed. e. Auditor is satisfied that such advance and askdence and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance and included in the financial statements 2.4 Subordinated Loans i. 100% of Subordinated loans which ruffill the conditions specified by SECP are allowed to be deducted: the Schedule Illi provides that 100% hastory will be allowed against subordinated Loans which ruffill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities 3.8,758,925 3. Subordinated loans which do not fulfill the conditions specified by SECP 3. Subordinated loans which do not fulfill the conditions specified by SECP 3. Concentration in Margin Financing The amount calculated clean to-client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. 3. Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total finances. 4. Moreover the aggregate of 10 amounts receivable from total finances. 5. Of the case of right issues: if the market value of se		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
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3.6 Amount Payable under REPO					
3.7 Repo adjustment					
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 31-JUL-19

of M& S.D. Mirza Securities (Pvt) Ltd

Submission Date 09-AUG-2019 16:52:41

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of	0	285,780	285,780
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security		,	,
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	285,780	285,780
3.12	Liquid Capital	61,926,592	51,719,804	26,188,382